

On a Third Order Nonlinear Boundary Value Problem at Resonance

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Submitted by Zhivko S. Athanassov

Received January 15, 1993

In this paper we discuss the existence of solutions to third order boundary value problems of the form

$$\begin{aligned}x''' + x' + g(x, x') &= p(t), \\x'(0) = x'(\pi) = x(\eta) &= 0,\end{aligned}$$

where η lies in $[0, \pi]$. We assume that g is continuous and one-sided bounded (e.g., $g \geq 0$) and p is continuous. Solutions are shown to exist if p satisfies a Landesman–Lazer type condition involving g . Several examples are given to illustrate how these conditions depend upon the parameter η . © 1995 Academic Press, Inc.

1. INTRODUCTION

In this paper we consider boundary value problems of the form

$$\begin{aligned}x''' + x' + g(x, x') &= p(t), \\x'(0) = x'(\pi) = x(\eta) &= 0,\end{aligned}\tag{1}$$

where $\eta \in [0, \pi]$. We assume that g is bounded on one side—either from below or from above.

In recent years there has been a renewed interest in third order three-point boundary value problems. Krajcinovic [9] studied the deflection of a three-layered elastic beam using a linear third order three-point boundary value problem and his work motivated Aftabizadeh and Xu [1] and Gupta [6] to study nonlinear versions of his model. Others who have recently

studied the existence and uniqueness for third order boundary value problems are Aftabizadeh and Wiener [2], Henderson [7], Murty and Prasad [11, 12], and O'Regan [13]. Agarwal [3] studied existence and uniqueness in conjunction with iterative methods for approximating the solution. For background on linear third-order boundary value problems, see the book by Gregus [5].

Gupta [6] discussed the existence of solutions to boundary value problems similar to (1) of the type

$$\begin{aligned}x''' + \pi^2 x' + g(t, x, x', x'') &= p(t), \\ x'(0) = x'(1) = x(\eta) &= 0, \quad 0 \leq \eta \leq 1,\end{aligned}$$

under the conditions that

$$\begin{aligned}\int_0^1 p(t) \sin \pi t \, dt &= 0; \\ g(t, u, v, w)v &\geq 0 \quad \text{for } t \in [0, 1], u, v, w \in \mathbb{R};\end{aligned}$$

and

$$\limsup_{|v| \rightarrow \infty} \frac{g(t, u, v, w)}{v} < 3\pi^2,$$

uniformly for $(t, u, v) \in [0, 1] \times \mathbb{R}^2$. Gupta uses a topological degree theory approach and a result of Mawhin [10, Theorem IV.4] to obtain his results.

In this paper, in consideration of (1), we do not require any of the three above conditions, but do require that g be one-sided bounded—either from above or from below. We also obtain results for a broader class of forcing functions p . Interestingly, these results depend on the parameter η .

2. THE MAIN RESULT

To study (1), we reduce it to a second order problem by means of the transformation $x(t) = \int_{\eta}^t y(s) \, ds$. This gives the equivalent problem

$$\begin{aligned}y''(t) + y(t) + g\left(\int_{\eta}^t y(s) \, ds, y(t)\right) &= p(t), \\ y(0) = y(\pi) &= 0.\end{aligned}\tag{2}$$

We will assume $g(u, v)$ is always nonnegative. The generalization to the

other cases, to wit, g is bounded from below or g is bounded from above, is easily obtainable.

Let N be the Nemytskii operator on $C[0, \pi]$ generated by

$$N(y)(t) = p(t) - g\left(\int_{\eta}^t y(s) ds, y(t)\right).$$

Now $N: C[0, \pi] \rightarrow Z$, where Z is $C[0, \pi]$ with norm $\|f\|_z = \int_0^{\pi} |f(t)| \sin t dt$. Let $P: L^{\infty}[0, \pi] \rightarrow L^{\infty}[0, \pi]$ be the projection onto the subspace spanned by $\sin t$ given by

$$Pf = \frac{2}{\pi} \left(\int_0^{\pi} f(t) \sin t dt \right) \sin t.$$

For each $x \in L^{\infty}[0, \pi]$, let $x(t) = \rho \sin t + x_1(t)$, where $\int_0^{\pi} x_1(t) \sin t dt = 0$. For each $f \in C[0, \pi]$ such that $\int_0^{\pi} f(t) \sin t dt = 0$, let Hf represent the solution x of

$$x'' + x = f, \quad x(0) = x(\pi) = 0,$$

with $\int_0^{\pi} x(t) \sin t dt = 0$. We then obtain the operator $H(I - P): Z \rightarrow C[0, \pi]$ satisfying

$$\|H(I - P)f\|_{\infty} \leq \frac{2K}{\pi} \int_0^{\pi} |f(t)| \sin t dt = \frac{2K}{\pi} \|f\|_z,$$

for some constant K (see Kannan and Ortega [8]).

Problem (2) is equivalent to the equation

$$(I - P)x = H(I - P)N(x) + PN(x) \quad (3)$$

or

$$x_1 = H(I - P)Nx + PN(x),$$

where $x = \rho \sin t + x_1(t)$ for some ρ (see Cesari [4]). We now state the theorem:

THEOREM 1. *Assume:*

(i) $g: R \times R \rightarrow R$ is a continuous function satisfying $g(u, v) \geq 0$ for all (u, v) in $R \times R$.

- (ii) $p \in C[0, \pi]$.
 (iii) There exist real numbers $\rho_1 < \rho_2$ and $\delta > 0$ such that

$$\begin{aligned} & \int_0^\pi g \left(\rho_1 (\cos \eta - \cos t) + \int_\eta^t y_1(s) ds, \rho_1 \sin t + y_1(t) \right) \sin t dt \\ & < p(t) \sin t dt \\ & < \int_0^\pi g \left(\rho_2 (\cos \eta - \cos t) + \int_\eta^t y_1(s) ds, \rho_2 \sin t + y_1(t) \right) \sin t dt \end{aligned}$$

for $\|y_1\|_\infty < \delta$.

- (iv) Moreover, δ further satisfies

$$\frac{4K}{\pi} \left[\int_0^\pi |p(t)| \sin t dt \right] < \delta.$$

Then, problem (1) has a solution $x(t) = \rho(\cos \eta - \cos t) + \int_\eta^t x_1(s) ds$, where $\|x_1\|_\infty < K[|p_0| + (2/\pi) \int_0^\pi |p(t)| \sin t dt]$ and ρ is between ρ_1 and ρ_2 .

Proof. For $\lambda \in [0, 1]$, we consider the homotopic problems

$$x_1 = \lambda H(I - P)N(x) + \lambda PN(x) - (1 - \lambda)\varepsilon(\rho - \rho^*) \sin t, \quad (4)$$

where $\rho^* = (\rho_1 + \rho_2)/2$ and $\varepsilon > 0$ satisfies

$$K \left[\frac{4}{\pi} \int_0^\pi |p(t)| \sin t dt + \varepsilon \frac{|\rho_2 - \rho_1|}{2} \right] < \delta.$$

Let $R_0 > \delta$ and let Ω be given by

$$\begin{aligned} \Omega = \{x \in C[0, \pi] | x(t) = \rho \sin t + x_1(t), \|x_1\|_\infty < R_0, \\ \int_0^\pi x_1(t) \sin t dt = 0, \text{ and } \rho \text{ is between } \rho_1 \text{ and } \rho_2\}. \end{aligned}$$

Letting $C(\lambda)(x)$ be the compact operator given by

$$Px + \lambda H(I - P)N(x) + \lambda PN(x) - (1 - \lambda)\varepsilon(\rho - \rho^*) \sin t,$$

we use Leray–Schauder degree theory for the operator $I - C(\lambda)$ on the open, bounded set Ω .

If $\lambda = 0$, the mapping $I - C(\lambda)$ becomes

$$\begin{aligned}(I - C(0))(x) &= x - Px + \varepsilon(\rho - \rho^*) \sin t \\ &= x_1 + \varepsilon(\rho - \rho^*) \sin t.\end{aligned}$$

Solving $(I - C(0))(x) = 0$, we find

$$x_1 + \varepsilon(\rho - \rho^*) \sin t = 0$$

and so since x_1 is in the subspace orthogonal to $\text{span}\{\sin t\}$,

$$x_1 = 0 \quad \text{and} \quad \varepsilon(\rho - \rho^*) \sin t = 0.$$

Hence, $x_1 = 0$, $\rho = \rho^*$, and we have the unique solution $x = \rho^* \sin t$.

To compute the $\deg(I - C(0), \Omega, 0)$, we first observe that $C(0)$ is a finite rank operator whose range lies in the $\text{span}\{\sin t\}$. Therefore, $\deg(I - C(0), \Omega, 0) = \deg(I - C(0)|_{D_v}, D_v, 0)$, where $D_v = \Omega \cap \text{span}\{\sin t\}$.

Now $(I - C(0))|_{D_v}(\rho \sin t) = \varepsilon(\rho - \rho^*) \sin t$ because $x_1 = (I - P)x$ lies in the subspace orthogonal to $\text{span}\{\sin t\}$. Hence $[I - C(0)]|_{D_v}$ is just a mapping from $\text{span}\{\sin t\}$ into $\text{span}\{\sin t\}$. We can identify the $\text{span}\{\sin t\}$ with \mathbf{R} and consider the degree of the mapping $\varphi(\rho) = \varepsilon(\rho - \rho^*)$ from $[\rho_1, \rho_2]$ into \mathbf{R} . Since $\varphi(\rho) = 0$ has the unique solution $\rho = \rho^* = \frac{1}{2}(\rho_1 + \rho_2)$, which lies in $[\rho_1, \rho_2]$, and $\varphi'(\rho) = \varepsilon > 0$, the $\deg(\varphi, [\rho_1, \rho_2], 0) = 1 \neq 0$. Consequently, $\deg(I - C(0), \Omega, 0) = 1 \neq 0$.

If for $\lambda = 1$, $(I - C(1))(x) = 0$ has a solution, the theorem is proved. It remains to show then that for $0 < \lambda < 1$, $(I - C(\lambda))(x) = 0$ or (4) does not have a solution on the boundary of Ω .

Suppose that for $0 < \lambda < 1$, $x(t)$ is a solution. Then,

$$\lambda PN(x) - (1 - \lambda)\varepsilon(\rho - \rho^*) \sin t = 0,$$

which gives

$$\begin{aligned}& \lambda \frac{2}{\pi} \int_0^\pi g \left(\int_\eta^t x(s) ds, x(t) \right) \sin t dt \\ &= \lambda \frac{2}{\pi} \int_0^\pi p(t) \sin t dt - (1 - \lambda)\varepsilon(\rho - \rho^*) \\ &\leq \frac{2}{\pi} \int_0^\pi |p(t)| \sin t dt + \varepsilon \frac{|\rho_2 - \rho_1|}{2}.\end{aligned}$$

Since $x_1 = \lambda H(I - P)N(x)$, we have

$$\begin{aligned}
 \|x_1\|_\infty &= \lambda \|H(I - P)N(x)\|_\infty \leq \frac{\lambda 2K}{\pi} \|N(x)\|_z \\
 &= \frac{\lambda 2K}{\pi} \int_0^\pi \left| -g \left(\int_\eta^t x(s) ds, x(t) \right) + p(t) \right| \sin t dt \\
 &\leq \frac{\lambda 2K}{\pi} \left[\int_0^\pi g \left(\int_\eta^t x(s) ds, x(t) \right) \sin t dt + \int_0^\pi |p(t)| \sin t dt \right] \\
 &\leq K \left[\frac{4}{\pi} \int_0^\pi |p(t)| \sin t dt + \varepsilon \frac{|\rho_2 - \rho_1|}{2} \right] \\
 &< \delta < R_0.
 \end{aligned}$$

Consequently, there is no solution for $\lambda \in (0, 1)$ on the boundary of Ω satisfying $\|x_1\|_\infty = R_0$.

Finally, we show there is no solution for ρ equal to ρ_1 or ρ_2 . Suppose $x = \rho_2 \sin t + x_1(t)$ is a solution. From above $\|x_1(t)\|_\infty < \delta$. By our hypothesis (iii),

$$\begin{aligned}
 -PN(x) &= \frac{2}{\pi} \int_0^t \left[g \left(\int_\eta^t \rho_2 \sin(s) ds + \int_\eta^t x_1(s) ds, \rho_2 \sin(t) \right. \right. \\
 &\quad \left. \left. + x_1(t) \right) \sin t - p(t) \sin t \right] dt \geq 0,
 \end{aligned}$$

or

$$PN(x) \leq 0.$$

But $\lambda PN(x) = (1 - \lambda)\varepsilon(\rho_2 - \rho^*) \sin t > 0$ so that $\rho_2 \sin t + x_1(t)$ cannot be a solution.

Similarly $\rho_1 \sin t + x_1(t)$ cannot be a solution and the theorem is proved.
Q.E.D.

Remark 1. If in the preceding theorem hypothesis (iii) is replaced by:

(iii)' There exist real numbers $\rho_1 < \rho_2$ and $\delta > 0$ such that

$$\begin{aligned}
& \int_0^\pi g \left(\rho_1 (\cos \eta - \cos t) + \int_\eta^t y_1(s) ds, \rho_1 \sin t + y_1(t) \right) \sin t dt \\
& > \int_0^\pi p(t) \sin t dt \\
& > \int_0^\pi g \left(\rho_2 (\cos \eta - \cos t) + \int_\eta^t y_1(s) ds, \rho_2 \sin t + y_1(t) \right) \sin t dt
\end{aligned}$$

for $\|y_1\|_\infty < \delta$,

then the theorem follows with a similar proof with $\rho^* - \rho$ replacing $\rho - \rho^*$ in (4) and subsequent expressions.

3. APPLICATIONS

EXAMPLE 1. Consider the problem

$$\begin{aligned}
x''' + x' + e^x + e^{x'} &= p(t), \\
x'(0) = x'(\pi) = x(\eta) &= 0,
\end{aligned} \tag{5}$$

for $\eta \in [0, \pi]$.

If $\eta = 0$, then this problem has a solution if and only if $0 < \int_0^\pi p(t) \sin t dt < \infty$; but no solution is guaranteed by Theorem 1 if $\eta = 0$. Indeed, for $\rho \in \mathbb{R}$, $\|y_1\|_\infty < \delta$, and $\int_0^\pi y_1(t) \sin t dt = 0$, consider

$$\Gamma(\rho, y_1) := \int_0^\pi g \left(\rho (\cos \eta - \cos t) + \int_\eta^t y_1(s) ds, \rho \sin t + y_1(t) \right) \sin t dt,$$

which for $g(x, x') = e^x + e^{x'}$ is

$$\begin{aligned}
\Gamma(\rho, y_1) &= \int_0^\pi [e^{\rho(\cos \eta - \cos t)} e^{\int_\eta^t y_1(s) ds} + e^{\rho \sin t} e^{y_1(t)}] \sin t dt \\
&= \int_0^\eta [e^{\rho(\cos \eta - \cos t)} e^{\int_\eta^t y_1(s) ds} + e^{\rho \sin t} e^{y_1(t)}] \sin t dt \\
&\quad + \int_\eta^\pi [e^{\rho(\cos \eta - \cos t)} e^{\int_\eta^t y_1(s) ds} + e^{\rho \sin t} e^{y_1(t)}] \sin t dt.
\end{aligned}$$

Now as $\rho \rightarrow \infty$, $\Gamma(\rho, y_1) \rightarrow \infty$ and as $\rho \rightarrow -\infty$, $\Gamma(\rho, y_1) \rightarrow \infty$ when $\eta > 0$ whereas $\Gamma(\rho, y_1) \rightarrow 0$ when $\eta = 0$. Consequently, if $\eta = 0$ and $0 < \int_0^\pi p(t) \sin t dt < \infty$, then δ , ρ_1 , and ρ_2 exist such that $\rho_1 < \rho_2$ and

$$\Gamma(\rho_1, y_1) < \int_0^\pi p(t) \sin t \, dt < \Gamma(\rho_2, y_1)$$

provided $\|y_1\|_\infty < \delta$.

The necessity follows by multiplying Eq. (5) by $\sin t$, integrating from 0 to π , and substituting in upper and lower bounds on the integrand $0 < (e^x + e^{x'}) \sin t < \infty$.

EXAMPLE 2. Consider the problem

$$\begin{aligned} x''' + x' + e^{-x} + e^{x'} &= p(t), \\ x'(0) = x'(\pi) = x(\eta) &= 0, \end{aligned} \quad (6)$$

for $\eta \in [0, \pi]$.

If $\eta = \pi$, then this problem has a solution if and only if $0 < \int_0^\pi p(t) \sin t \, dt < \infty$ but no solution is guaranteed if $\eta < \pi$.

In this case we must consider

$$\begin{aligned} T(\rho, y_1) := & \int_0^\eta [e^{-\rho(\cos \eta - \cos t)} e^{-\int_\eta^t y_1(s) ds} + e^{\rho \sin t} e^{y_1(t)}] \sin t \, dt \\ & + \int_\eta^\pi [e^{-\rho(\cos \eta - \cos t)} e^{-\int_\eta^t y_1(s) ds} + e^{\rho \sin t} e^{y_1(t)}] \sin t \, dt, \end{aligned}$$

for $\|y_1\|_\infty < \delta$. Now as $\rho \rightarrow \infty$, $T(\rho, y_1) \rightarrow \infty$ and as $\rho \rightarrow -\infty$, $T(\rho, y_1) \rightarrow \infty$ when $\eta < \pi$ whereas $T(\rho, y_1) \rightarrow 0$ when $\eta = \pi$.

EXAMPLE 3. Consider the problem

$$\begin{aligned} x''' + x' + e^x + \arctan(x') &= p(t), \\ x'(0) = x'(\pi) = x(\eta) &= 0, \end{aligned} \quad (7)$$

for $\eta \in [0, \pi]$.

If $\eta = 0$ (or π), problem (7) has a solution if $-\pi$ (or π) $< \int_0^\pi p(t) \sin t \, dt < \infty$, but no solution is guaranteed when $0 < \eta < \pi$. Moreover, it is only necessary that $-\pi < \int_0^\pi p(t) \sin t \, dt < \infty$.

In this case we must consider the equation

$$\begin{aligned} \Sigma(\rho, y_1) = & \int_0^\eta [e^{\rho(\cos \eta - \cos t)} e^{\int_\eta^t y_1(s) ds} + \arctan(\rho \sin t + y_1(t))] \sin t \, dt \\ & + \int_\eta^\pi [e^{\rho(\cos \eta - \cos t)} e^{\int_\eta^t y_1(s) ds} + \arctan(\rho \sin t + y_1(t))] \sin t \, dt, \end{aligned}$$

for $\|y_1\|_\infty < \infty$. Now as $\rho \rightarrow \infty$,

$$\sum (\rho, y_1) \rightarrow \begin{cases} \infty, & \text{if } 0 \leq \eta < \pi, \\ \pi, & \text{if } \eta = \pi, \end{cases}$$

and as $\rho \rightarrow -\infty$,

$$\sum (\rho, y_1) \rightarrow \begin{cases} \infty, & \text{if } 0 < \eta \leq \pi, \\ -\pi, & \text{if } \eta = 0. \end{cases}$$

Consequently, when $\eta = 0$, it is sufficient that $-\pi < \int_0^\pi p(t) \sin t \, dt < \infty$, whereas when $\eta = \pi$, it is sufficient for $\pi < \int_0^\pi p(t) \sin t \, dt < \infty$.

Remark 2. Our approach leaves open the question of the existence of a necessary and sufficient condition for $\eta \neq 0$ or π .

EXAMPLE 4. Consider the problem

$$\begin{aligned} x''' + x' + \arctan(x) - \arctan(x') &= p(t), \\ x'(0) = x'(\pi) = x(\eta) &= 0, \end{aligned} \quad (8)$$

for $\eta \in [0, \pi]$. When $0 \leq \eta < \pi$, problem (8) has a solution if $-\pi < \int_0^\pi p(t) \sin t \, dt < \pi$. When $\eta = \pi$, no solution for (8) is guaranteed. This example is a special case of the following corollary.

COROLLARY 1. If $g: R \times R \rightarrow R$ is bounded with existing asymptotic limits $g(\pm\infty, \pm\infty)$ defined as

$$g(\pm\infty, \pm\infty) = \lim_{\substack{u \rightarrow \pm\infty \\ v \rightarrow \pm\infty}} g(u, v),$$

then problem (1) has a solution if $\int_0^\pi p(t) \sin t \, dt$ is between $(1 - \cos \eta)g(-\infty, +\infty) + (1 + \cos \eta)g(+\infty, +\infty)$ and $(1 - \cos \eta)g(+\infty, -\infty) + (1 + \cos \eta)g(-\infty, -\infty)$, whenever these two values are distinct.

4. REMARKS

Problem (1) can be expressed in the form

$$\begin{aligned} ABx + g(x, Bx) &= p(t), \\ x'(0) = x'(\pi) = x(\eta) &= 0, \end{aligned} \quad (9)$$

where A and B are the operators corresponding to

$$Bx = x', \quad x(\eta) = 0,$$

and

$$Ax = x'' + x, \quad x(0) = x(\pi) = 0.$$

In this case, B is an invertible operator with inverse

$$(B^{-1}y)(t) = \int_{\eta}^t y(s) ds.$$

Problem (9) can be rewritten as

$$\begin{aligned} Ay + g\left(\int_{\eta}^t y(s) ds, y\right) &= p(t), \\ y(0) &= y(\pi) = 0, \end{aligned} \tag{10}$$

where $y = Bx$.

Analogously, we can study other problems of the form

$$\begin{aligned} Ay + g(B^{-1}, y) &= p(t), \\ y(0) &= y(\pi) = 0, \end{aligned} \tag{11}$$

where B is a suitable invertible operator on $D \subset C[0, \pi]$. For example, let B be the invertible operator $Bx = x'' + 2x$ with domain $D = \{x \in C^2[0, \pi] \mid x(0) = x(\pi) = 0\}$. The inverse of B is given by

$$(B^{-1}f)(t) = \int_0^{\pi} f(s)G(t, s) ds,$$

where G is the Green's function associated with $By = f$. With this choice of B, A as given before, and if one lets $x = B^{-1}y$, problem (11) is equivalent to

$$\begin{aligned} x^{(4)} + 3x'' + 2x + g(x, Bx) &= p(t), \\ x''(0) = x''(\pi) = x(0) &= x(\pi) = 0. \end{aligned} \tag{12}$$

Proceeding as before and using the fact that $B^{-1} \sin t = \sin t$, one can prove:

THEOREM 2. *Assume*

(i) $g: R \times R \rightarrow R$ is a continuous function satisfying $g(u, v) \geq 0$ for all (u, v) in $R \times R$.

- (ii) $p \in C[0, \pi]$.
- (iii) There exist real numbers $\rho_1 < \rho_2$ and $\delta > 0$ such that

$$\begin{aligned} & \int_0^\pi g(\rho_1 \sin t + (B^{-1}y_1)(t), \rho_1 \sin t + y_1(t)) \sin t \, dt \\ & < \int_0^\pi p(t) \sin t \, dt \\ & < \int_0^\pi g(\rho_2 \sin t + (B^{-1}y_1)(t), \rho_2 \sin t + y_1(t)) \sin t \, dt, \end{aligned}$$

for $\|y_1\|_\infty < \delta$.

- (iv) Moreover, δ further satisfies

$$\frac{4K}{\pi} \left[\int_0^\pi |p(t)| \sin t \, dt \right] < \delta.$$

Then, problem (12) has a solution

$$x(t) = \rho \sin t + B^{-1}x_1,$$

where $\|x_1\|_\infty < K[|p_0| + (2/\pi) \int_0^\pi |p(t)| \sin t \, dt]$ and ρ is between ρ_1 and ρ_2 .

As a consequence of Theorem 2, one can show that the nonlinear boundary value problem

$$\begin{aligned} x^{(4)} + 3x'' + 2x + e^x + e^{-x} &= p(t), \\ x''(0) = x''(\pi) = x(0) = x(\pi) &= 0 \end{aligned} \tag{13}$$

has a solution provided

$$0 < \int_0^\pi p(t) \sin t \, dt < \infty.$$

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